### UOB KAY HIAN SECURITIES (M) SDN BHD (194990-K)

A Participating Organisation of Bursa Malaysia Securities Berhad A Trading Participant of Bursa Malaysia Derivatives Berhad

Website: www.uobkayhian.com.my



# **Entity / Individual Tax Residency Self-Certification Form**

**CRS** 

(Please complete Part I to Part III in BLOCK CAPITALS)

<u>ldent</u>	ification of Account Holder	
Name	of Account Holder :	
Curre	nt Correspondence Address :	
Date	of Incorporated/Date of Birth:	
Part I	<ul> <li>Entity Type (Please tick one (1) of the boxes to indicate the account status of <u>Corporate Client</u>)</li> </ul>	,
1. (a)	Financial Institution – Investment Entity	
	<ul> <li>An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Note: If tick this box, please complete Part I (3) below)</li> </ul>	
	ii. Other Investment Entity	
(b)	Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company	
	If you have ticked (a) or (b) above, please provide (if held) the Account Holder's Global Intermediary Identification Number ("GIIN") which is obtained for FATCA purposes.	
(c)	Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
	If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:	
	If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:	
(d)	Active NFE – a Government Entity or Central Bank	
(e)	Active NFE – an International Organisation	
(f)	Active NFE – other than (c)-(e)	
(g)	Passive NFE (Note: if ticking this box please also complete Part I (3) below)	

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2. Please provide SST Account No: (Applicable to Malaysia Incorporated Company falls within the scope of SST Registration Category)														
													ı	
2 If v	ou boyo tiakad	1(a)(i) or	1(a) abaya	thon n	loose:									
-	ou have ticked													
a. Indicate the name of any Controlling Person(s) of the Account Holder: *														
_	COMPLETE "	lea elis el els es	J. Tav. Daniel	0	- If O	::: :: - ·-		f		4 II:			*	
D.	COMPLETE "	inaiviaua	ii Tax Reside	ency S	eir-Cert	itication	Forn	n" tor ea	ich Co	ntrolli	ng P	ersor	1."	
Part I	I - Country							•		nd I	rela	ted	Taxpaye	r
			lumber or ted by <u>Ind</u>	•				•	,					
	(10 be c	ompiei	eu by <u>mu</u>	ividu	ai/ CO	iiu Oili	<u>rig r</u>	ei sui	ט					
Please complete the following table indicating the Account Holder's TIN for each country/jurisdiction indicated.														
Countries/Jurisdictions adopting the wider approach may require that the self-certification include a tax identifying number for each country/jurisdiction of residence (rather than for each Reportable Jurisdiction).														
If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate sheet														
ii the Account Holder is tax resident in more than three countries/junistictions, please use a separate sheet														
If a TIN is unavailable please provide the appropriate reason <b>A, B</b> or <b>C where indicated below</b> :														
Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents Reason B - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)														
Reason C - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you														
	are unab	le to obta	ain a TIN in i	the belo	ow table	e if you	have	selecte	d this i	reaso	n)			
	Country/Jurise	P 41		_	IN 1 / //					lf ı	no TI	N av	ailable, ent	er
No.		diction o	liction of tax residence	ce	TIN (#) 				Re	Reason A, B or C				
1.														
2.														
3.														
Places combain in the following who was unable to obtain a TIN it was a stated Barrary Order														
Please explain in the following why you are unable to obtain a TIN if you selected Reason <b>C</b> above.														
	<del>-</del>													

<sup>(#)</sup> TIN is not required for Account Holder which incorporated in Malaysia or Account held by Controlling Person(s) who is / are Malaysian tax resident(s)

#### **UOB KAY HIAN SECURITIES (M) SDN BHD (194990-K)**

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## Part III - Declarations and Signature\*

We/ I understand that the information supplied by us/ me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with **UOB KAY HIAN SECURTIES (M) SDN BHD** setting out how **UOB KAY HIAN SECURTIES (M) SDN BHD** may use and share the information supplied by us/ me.

We/ I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

We/ I certify that we/ I are/ am the Account Holder or we/ I are/ am authorised to sign for the Account Holder of all the account(s) to which this form relates.

We/ I declare that all statements made in this declaration are, to the best of our/ my knowledge and belief, correct and complete.

We/I undertake to advise **UOB KAY HIAN SECURTIES (M) SDN BHD** within **7** days of any change in circumstances which affects the tax residency status of the Account Holder and our/ my account particulars details as specified in the trading application form or causes the information contained herein to become incorrect or incomplete (^including any changes to the information on controlling persons identified in Part I question 3(a), and to provide **UOB KAY HIAN SECURTIES (M) SDN BHD** with an updated self-certification and declaration within **7** days of such change in circumstances.

Signature: *	 
Client name: *	 
Date:*	

<sup>\*</sup> Mandatory fields. If the Client is a corporation, please sign as per Board Resolution and affix the company rubber stamp or common seal.

<sup>^</sup> Applicable to corporate client