

UOB KAY HIAN SECURITIES (M) SDN BHD (194990-K)

A Participating Organisation of Bursa Malaysia Securities Berhad
A Trading Participant of Bursa Malaysia Derivatives Berhad



Website: www.uobkayhian.com.my

2. Please provide SST Account No:
(Applicable to Malaysia Incorporated Company falls within the scope of SST Registration Category)

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3. If you have ticked 1(a)(i) or 1(g) above, then please:

a. Indicate the name of any Controlling Person(s) of the Account Holder: *

b. COMPLETE "Individual Tax Residency Self-Certification Form" for each Controlling Person.*

Part II – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number* ("TIN")
(To be completed by Individual/ Controlling Person)

Please complete the following table indicating the Account Holder's TIN for each country/jurisdiction indicated. Countries/Jurisdictions adopting the wider approach may require that the self-certification include a tax identifying number for each country/jurisdiction of residence (rather than for each Reportable Jurisdiction).

If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate sheet

If a TIN is unavailable please provide the appropriate reason **A, B** or **C** where indicated below:

- Reason A** - The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents
Reason B - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)
Reason C - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

No.	Country/Jurisdiction of tax residence	TIN (#)	If no TIN available, enter Reason A, B or C
1.			
2.			
3.			

Please explain in the following why you are unable to obtain a TIN if you selected Reason C above.

(#) TIN is not required for Account Holder which incorporated in Malaysia or Account held by Controlling Person(s) who is / are Malaysian tax resident(s)

Part III – Declarations and Signature*

We/ I understand that the information supplied by us/ me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with **UOB KAY HIAN SECURITIES (M) SDN BHD** setting out how **UOB KAY HIAN SECURITIES (M) SDN BHD** may use and share the information supplied by us/ me.

We/ I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

We/ I certify that we/ I are/ am the Account Holder or we/ I are/ am authorised to sign for the Account Holder of all the account(s) to which this form relates.

We/ I declare that all statements made in this declaration are, to the best of our/ my knowledge and belief, correct and complete.

We/ I undertake to advise **UOB KAY HIAN SECURITIES (M) SDN BHD** within **7** days of any change in circumstances which affects the tax residency status of the Account Holder and our/ my account particulars details as specified in the trading application form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part I question 3(a), and to provide **UOB KAY HIAN SECURITIES (M) SDN BHD** with an updated self-certification and declaration within **7** days of such change in circumstances.

Signature: * _____

Client name: * _____

Date: * _____

** Mandatory fields. If the Client is a corporation, please sign as per Board Resolution and affix the company rubber stamp or common seal.*

^ Applicable to corporate client